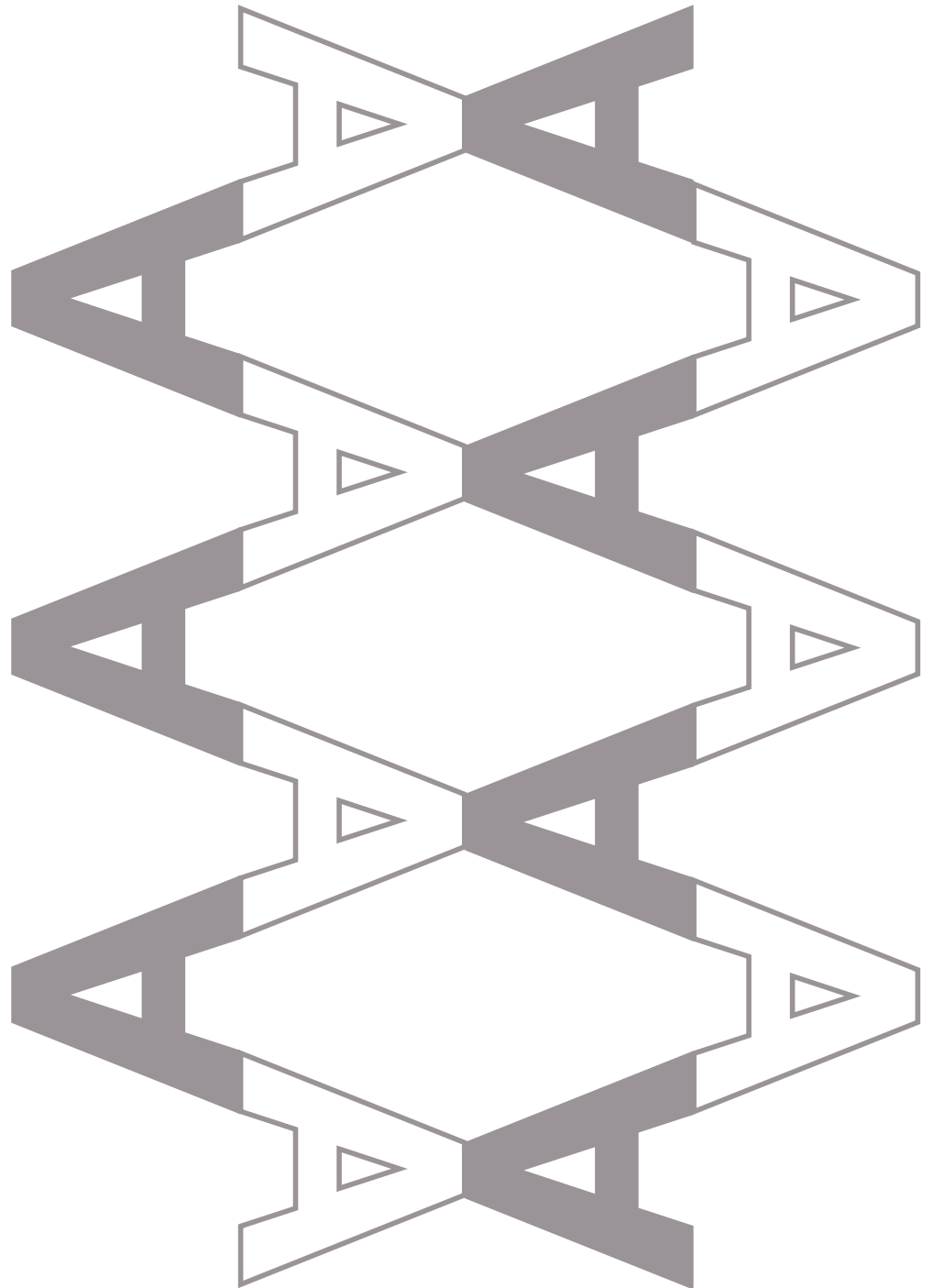


DATA PRIVACY STATEMENT

JUNE 2021



Introduction and preamble

Argon Asset Management (Pty) Ltd shall take all reasonable measures to protect your personal information and for the purpose of this disclaimer “personal information” shall be defined as detailed in the Promotion of Access to Information Act, Act 2 of 2000 (“PAIA”) and the Protection of Personal Information Act, Act 4 of 2013 (“POPIA”).

The PAIA and POPI Acts are available online at <https://www.justice.gov.za/inforeg/legal.html>.

This data privacy statement explains how Argon processes the personal information collected from you and informs you of your rights in terms POPIA.

Personal information processed by Argon

Depending on the type of business conducted, or the relationship you have with Argon, the following types of personal information may be processed:

- Name
- race (for employment or regulatory purposes only)
- gender
- marital status
- nationality
- age
- qualifications
- financial information
- employment history
- tax identification numbers
- contact details
- bank account details

Why Argon may process your personal information

Argon collects, stores and uses your personal information primarily for the following purposes:

- To communicate requested information to you
- To provide investment management services to you as requested
- To meet regulatory obligations such as is contained in (although not limited to) the Financial Intelligence Centre Act, 2001 (“FICA”), Financial Advisory & Intermediary Services Act, 2003 (“FAIS”) and the Collective Investment Scheme Control Act, 2002 (“CISCA”).
- To communicate additional products and services offering by Argon that may be of interest to you
- To provide occasional newsletters and market insights if requested

Your rights as a data subject

Argon recognises that all its data-subjects have the following rights regarding their personal information:

- The right to expect that Argon uses their personal information appropriately, transparently and securely.
- The right to establish whether Argon holds personal information related to them, including the right to request access to that personal information.
- The right to request, where necessary, that their personal information be corrected or deleted where Argon is no longer authorised to retain the personal information.
- The right to submit a complaint to the Information Regulator regarding an alleged infringement of any of the rights protected under POPI and to institute civil proceedings regarding the alleged non-compliance with the protection of their personal information

- The right to be notified in any situation where Argon has reasonable grounds to believe that the personal information of the client has been accessed or acquired by an unauthorised person.

Security safeguards

The information Argon maintains concerning its data subjects is stored in secure databases that have built-in safeguards to ensure its privacy and confidentiality. Your personal information is strictly access controlled, with access granted to identified authorised persons only.

Data security breaches

In the event of a security compromise where your personal information has been accessed or acquired by an unauthorised person, Argon will notify you directly as soon possible as provided for in POPIA.

Complaints

If you have any questions or wish to complain about the processing of your personal information, or if you wish to exercise any of your rights as a data subject, you can contact Argon's Information Officer. The Information Officer's contact details are as follows:

Name: Manas Bapela

Landline: (021) 670 6574

Email: info@argonasset.co.za

Postal Address: PO Box 23254

Claremont

7735



Jeremy Jutzen

Client Relationship Manager

☎ +27 21 670 6592

☎ +27 83 703 8523

🌐 jeremy@argonasset.co.za

☎ +27 21 670 6570

✉ info@argonasset.co.za

🌐 Website www.argonassetmanagement.co.za

Disclaimer

Information contained herein is for information purposes only and is merely illustrative. It is not deemed as advice as defined in the Financial Advisory and Intermediary Services Act (FAIS Act). Argon Asset Management (Pty) Ltd and its employees shall not be held responsible for any losses sustained by any person acting based on the information. Past performance of any of our funds is not indicative of their future performance. Persons are advised to contact Argon directly should they wish for Argon to conduct an analysis with a view to facilitating investing in any of our funds. Argon Asset Management (Pty) Ltd is an independent investment management company registered in South Africa, company registration number 2002/016801/07 and an authorised financial services provider under the Financial Services Board (FSB) registration number 835 as well as the FSB's section 13B Pension Funds Act ; administrator registration number 24/434. The main business of Argon Asset Management is the provision of investment management services to institutional clients and retail investors. Argon Asset Management's domestic product range includes an equity fund, bond fund, absolute return fund, domestic balanced fund, flexible income fund and a money market fund. The offshore product set consists of a range of global equities, global fixed income and the global balanced/multi asset class funds.



ASSET MANAGEMENT